



## CLIENT SECURITY FUND COMMITTEE

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STATEWIDE  
GRIEVANCE COMMITTEE

MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Ingrid L. Moll, Chair  
Client Security Fund Committee

DATE:

SUBJECT: Quarterly Report of the Client Security Fund Committee  
October - December, 2018

Pursuant to Practice Book §2-72(e), the following is a report of the activities of the Client Security Fund Committee for the fourth quarter of calendar year 2018:

### I. Claims Filed

Eighteen (18) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of one hundred (100) claims. The dollar amount of claims filed during the quarter was \$385,216.15. The annual total of claims filed as of the end of the quarter was \$7,361,590.87. The total number of claims pending before the committee as of the end of the quarter was one hundred and forty-two (142), with a total amount of claims pending of \$12,694,793.64.

### II. Claims Approved

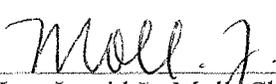
The Client Security Fund Committee approved reimbursement for twenty-four (24) claims during the quarter, and approved payments to those claimants in the amount of \$309,914.86. The committee also increased the amount of a prior award in claim number 17-CSF0034, Bailey/Costa from \$25,644.23 to \$62,444.23. The annual total of claims approved by the committee was one hundred and fifteen (115) as of the end of the quarter, with a total amount of payments approved of \$3,040,493.64. The committee also denied twenty-one (21) claims totaling \$725,821.29 during the quarter, for an annual total of seventy-two (72) claims denied in the amount of \$3,219,029.20.

III. Fund Activity

The client security fund received \$100,832.00 in collections during the quarter from individuals obligated to pay the client security fund fee pursuant to Section §2-70 of the Practice Book. The client security fund also received \$73,246.74 in interest on the fund, and \$2,768.56 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$52,500.00 paid to the Lawyers' Assistance Program pursuant to Practice Book Section 2-73(f), the available cash balance in the fund as of the end of the quarter was \$13,708,766.70. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Financial Services office is attached.

Respectfully submitted,

 1/24/2019  
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Hon. Ingrid L. Moll, Chair  
Client Security Fund Committee

**CLIENT SECURITY FUND**  
**Fiscal Year 2018 - 2019**  
**Quarterly Account Summary**  
**October 1, 2018 through December 31, 2018**

Available Cash Balance as of 10/1/2018		\$ 14,395,712.62
Cash Receipts (10/1/2018 - 12/31/2018)		
Fees Collected	\$ 100,832.00	
Donations Received	0.00	
Restitution	\$ 2,768.56	
Other Fund Revenue	0.00	
Interest Earned <sup>1</sup>	\$ 73,246.74	
Bank Service Charges	0.00	
Bad Checks	\$ (75.00)	
Restricted Revenue Refunds	\$ (12,177.50)	
Total Cash Receipts		\$ 164,594.80
Total Cash Available		\$ 14,560,307.42
Cash Disbursements (10/1/2018 - 12/31/2018)		
Claims	\$ 681,587.88	
Personal Services - Permanent Full Time	\$ 48,943.29	
Longevity	\$ 887.50	
Accumulated Leave	0.00	
Fringe Benefits	\$ 46,292.45	
Employee Allowances & Reportable Payments	0.00	
In-State Travel	0.00	
Mileage Reimbursement	\$ 64.97	
Other Settlements - Reportable	\$ 789.39	
Management Consultant Services - (Lawyers' Assistance Program)	\$ 52,500.00	
Fees and Permits	\$ 139.75	
Online Information Services	\$ 61.00	
Postage	\$ 18,435.39	
Translation & Interpretation	0.00	
Office Equipment Lease/Rental	\$ 557.03	
Non-Controllable Software	\$ 894.30	
Telephone	\$ 186.48	
Office Supplies	\$ 201.29	
Non-Controllable Property	0.00	
Total Cash Disbursements		\$ 851,540.72
Available Cash Balance as of 12/31/2018		\$ 13,708,766.70

<sup>1</sup>Transfer from State Treasurer for Interest Earned (July 2018 - September 2018)