



STATE OF CONNECTICUT  
JUDICIAL BRANCH

**STATEWIDE GRIEVANCE COMMITTEE**

Michael P. Bowler, *Statewide Bar Counsel*

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Attorney Frank Blando  
Office of the Chief Disciplinary Counsel  
100 Washington Street  
Hartford, CT 06106

Attorney Day R. Shields  
762 Boston Post Road  
Darien, CT 06820

RE: Grievance Complaint #06-1054, Stamford/Norwalk J.D. Grievance Panel v. Shields

Dear Assistant Disciplinary Counsel and Respondent:

Pursuant to Practice Book §2-82, the undersigned, duly-appointed reviewing committee of the Statewide Grievance Committee, has reviewed the *Conditional Admission and Agreement as to Discipline* (hereinafter, “Conditional Admission”) filed April 18, 2007 and submitted for approval in the above referenced matter. After careful consideration of the *Conditional Admission*, the Affidavit of the Respondent submitted pursuant to Practice Book §2-82(d) and the entire record, and after conducting a hearing pursuant to Practice Book §2-82(b) on May 9, 2007, the undersigned hereby APPROVE the *Conditional Admission*, a copy of which is attached hereto. Accordingly, the disposition agreed to by the Assistant Disciplinary Counsel and the Respondent in the above referenced matter and set forth in the *Conditional Admission*, is hereby made an order of this reviewing committee. The Respondent is reprimanded.

So ordered.

cc: Attorney Stephen Conover, Panel Counsel  
Attorney John Welch

(8)  
asc

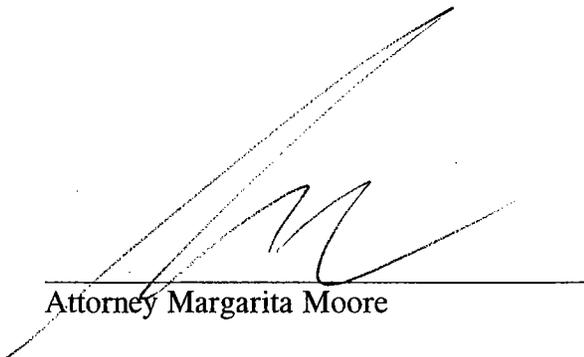
DECISION DATE: 6/29/07

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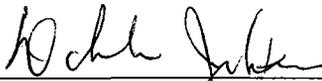
Attorney Salvatore DePiano

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Attorney Margarita Moore

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Ms. Dahlia Johnston

STATEWIDE GRIEVANCE COMMITTEE

NO. 06-1054

STAMFORD/NORWALK JUDICIAL  
DISTRICT GRIEVANCE PANEL

Complainant

Vs.

APR 18 2007

DAY R. SHIELDS

Respondent

CONDITIONAL ADMISSION AND AGREEMENT AS TO DISCIPLINE

Pursuant to Practice Book § 2-82, the undersigned Respondent and Disciplinary

Counsel stipulate and agree as follows:

1. This matter was instituted by grievance complaint filed by the Complainant, the Stamford/Norwalk Grievance Panel, on November 16, 2006.
2. On March 1, 2007 the New Haven J.D. for G.A. 7 and the Towns of Branford, East Haven, Guilford, Madison & North Branford Grievance Panel found Probable Cause that the Respondent had violated Rule 1.3 when he failed to diligently pursue the matter of the Estate of Annika T. Hockett that had been pending before the probate authority in Augusta County, Virginia and Rule 8.4(4) when he failed to pay his annual Client Security Fund fee for the years 2005 and 2006.
3. The Respondent has tendered a conditional admission of fact in accordance with his affidavit attached hereto, admitting certain of the facts of the complaint.
4. Respondent has been admitted to practice law in Connecticut since June 12, 1984, and he has no history of discipline.
5. Respondent has agreed that a **Reprimand** is an appropriate sanction in this matter in regard to his violation of **Rule 1.3**.

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6. Respondent has tendered payment of his Client Security Fund fees for the years 2005 and 2006 and currently is up to date in that regard. Accordingly, Disciplinary Counsel has agreed to recommend to the Statewide Grievance Committee that the Probable Cause finding as it relates to **Rule 8.4(4)** be **dismissed**.

**WHEREFORE**, this matter is submitted to the Statewide Grievance Committee for its approval in accordance with Practice Book § 2-82 (b).

Office of Disciplinary Counsel

4/16/07  
Date

Frank P. Blando  
By Frank P. Blando  
Assistant Disciplinary Counsel

Respondent

04/11/07  
Date

Day R. Shields  
Day R. Shields

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**AFFIDAVIT**

**STATE OF CONNECTICUT)**

**) ss: Wilton**

**COUNTY OF FAIRFIELD )**

I am over the age of 18 and believe in the obligation of an oath. Pursuant to Practice Book §2-82, I make the follow affidavit:

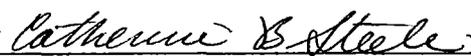
1. The Conditional Admission attached hereto and made a part hereof is voluntarily submitted.
2. I hereby consent to the form of disposition set forth in the attached Conditional Admission.
3. I am aware that I have a right to a full evidentiary hearing on this matter, and I waive that right by entering into this Agreement.
4. I have been neither subject to coercion nor duress, and I am fully aware of the implications of this Affidavit and Conditional Admission.
5. I am aware of the current proceeding regarding my alleged violation of Rules 1.3 and 8.4(4) of the Rules of Professional Conduct in connection with my conduct as it relates to the processing of the Estate of Annika T. Hockett and my failure to pay my Client Security Fund fee for the years 2005 and 2006.
6. I acknowledge that I failed to diligently pursue the matter of the underlying Estate of Annika T. Hockett with the Augusta County, Virginia probate authorities and that such failure is a violation of Rule 1.3 of the Rules of Professional Conduct.
7. I have complied with all the orders of the Augusta County, Virginia authorities as they relate to the Estate of Annika T. Hockett, and I have paid my Client Security Fund fees for the years of 2005 and 2006.

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8. I am willing to accept a Reprimand for my violation of Rule 1.3 of the Rules of Professional Conduct and agree that said Reprimand is a fair, equitable, and reasonable disposition of this matter.

  
Day R. Shields

Subscribed and sworn to before me this 12<sup>TH</sup> day of APRIL, 2007.

  
Commissioner of the Superior Court  
Notary Public  
My Commission Expires 4/30/11

CATHERINE B. STEELE  
NOTARY PUBLIC  
State of Connecticut  
My Commission Expires  
April 30, 2011

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